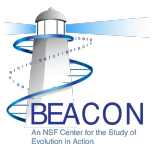


# Automated Generation of Adaptive Test Plans for Self-Adaptive Systems

**Erik Fredericks** and Betty H. C. Cheng  
May 19<sup>th</sup>, 2015



# Motivation

- Run-time testing provides assurance for self-adaptive systems (SAS)
- An SAS can experience uncertainty, possibly rendering test cases created at design time irrelevant
- **Proteus** ensures that test suites and test cases remain relevant throughout SAS execution

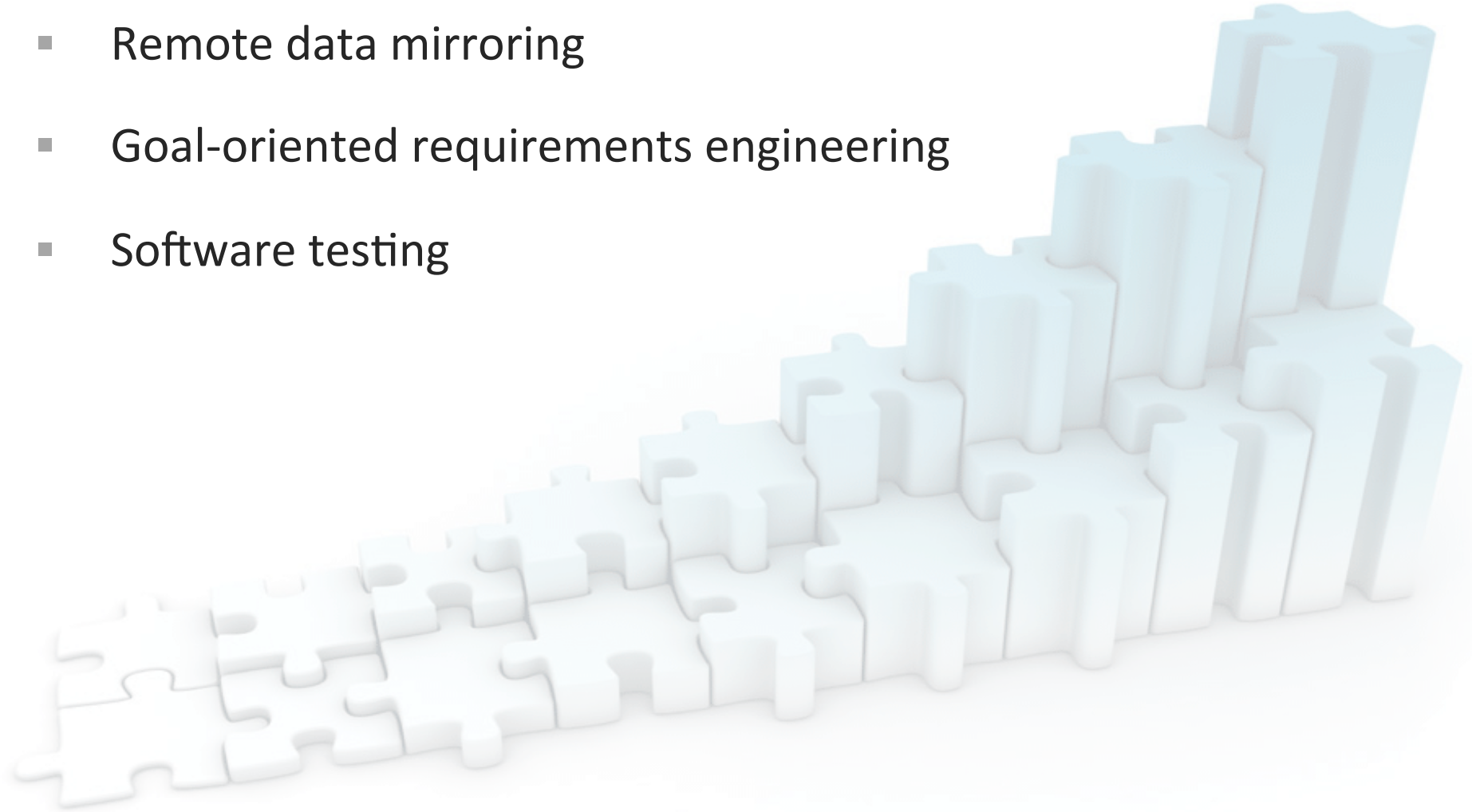


# Agenda

- Background
- Proteus approach
- Case study
  - Discussion
- Impact of run-time testing
  - Discussion
- Related work

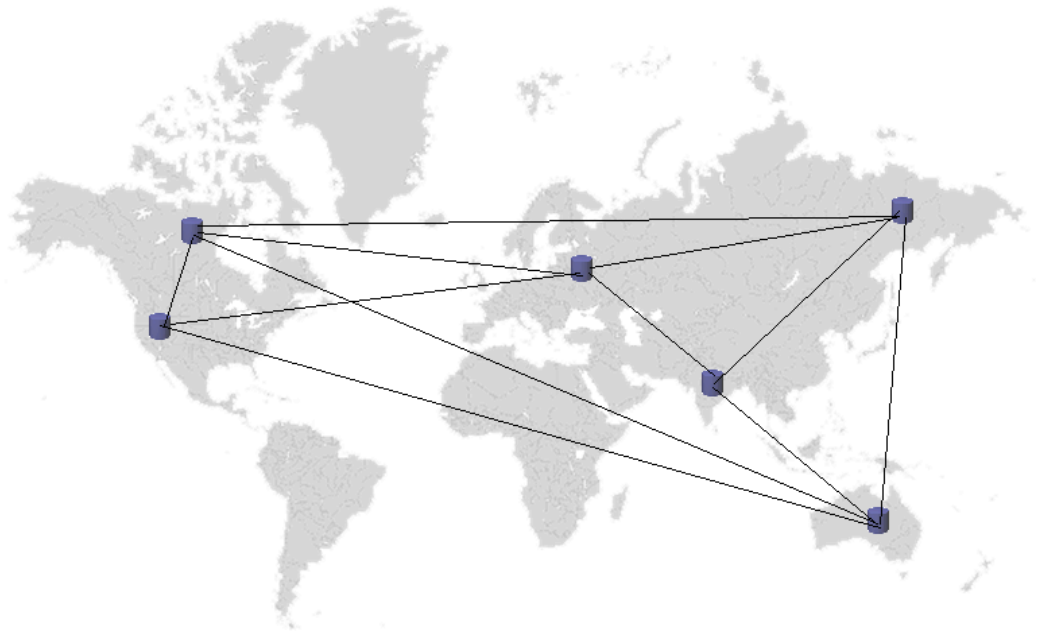
# Background

- Remote data mirroring
- Goal-oriented requirements engineering
- Software testing

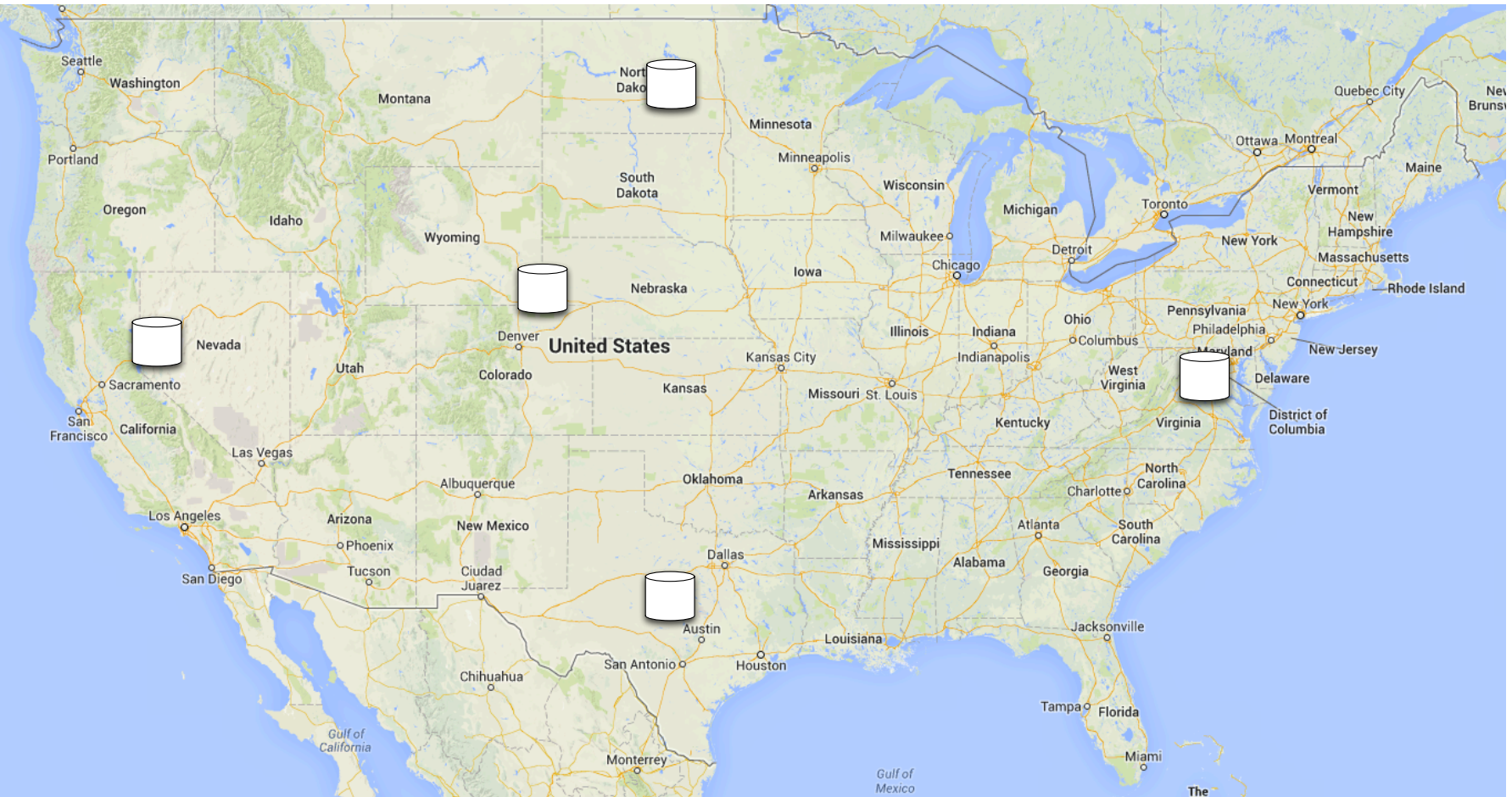


# Remote Data Mirroring Application

- RDM [Veitch2003,Keeton2004] provides:
  - Data protection
  - Prevents data loss and maintains availability
  - Stores data in physically remote locations
  - Represented as an SAS

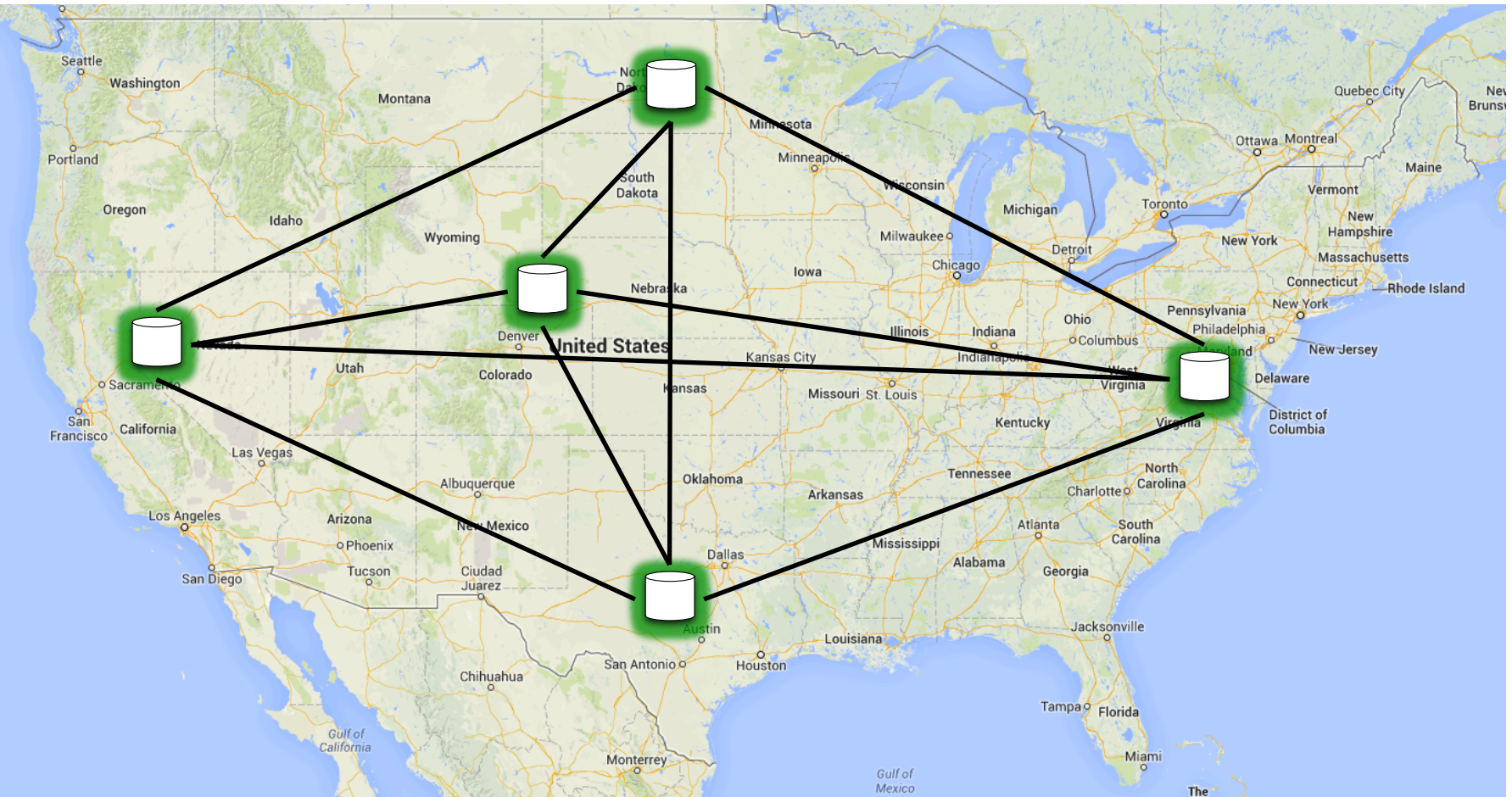


# Remote Data Mirroring Application

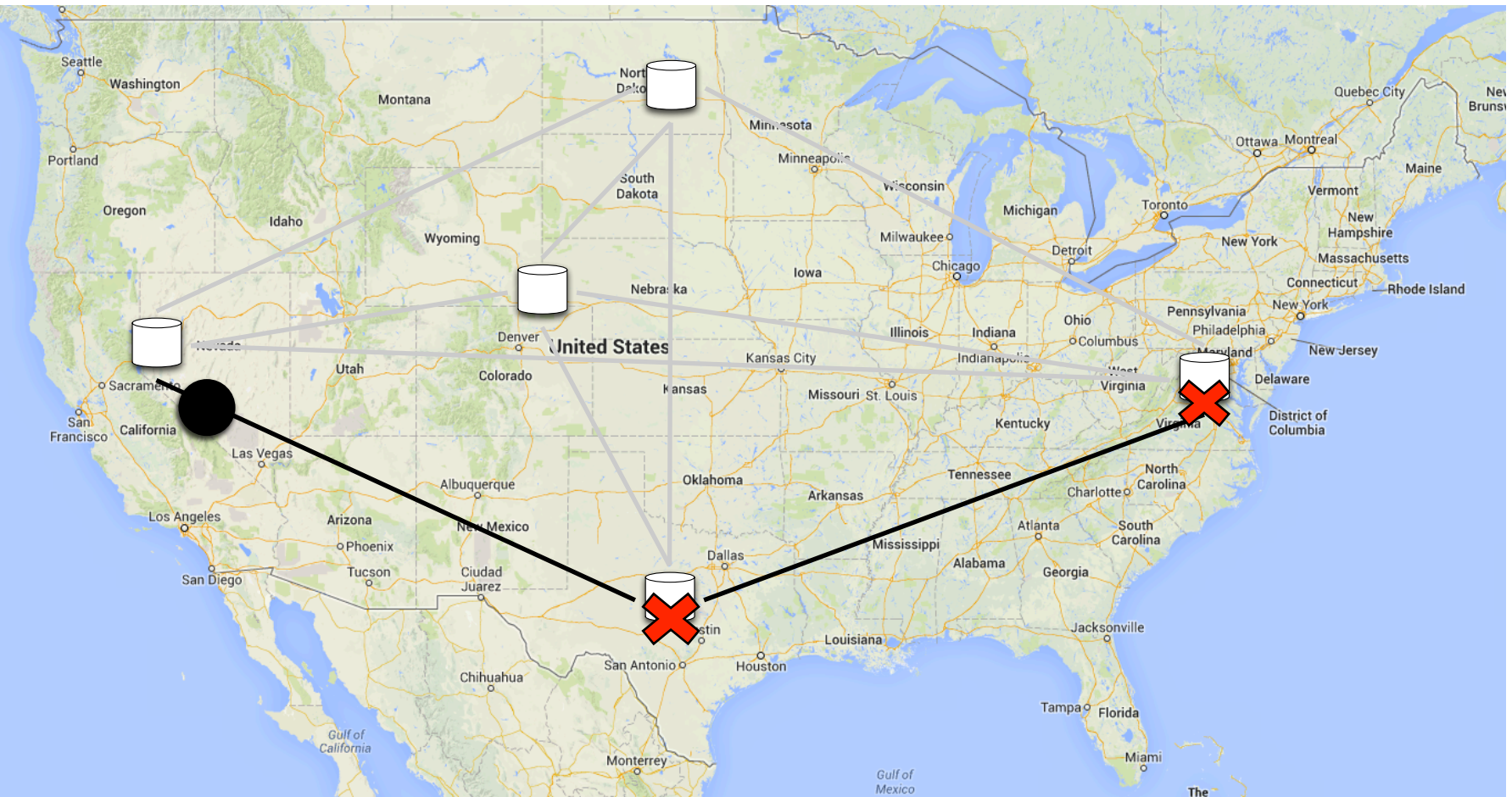




# Network Connections

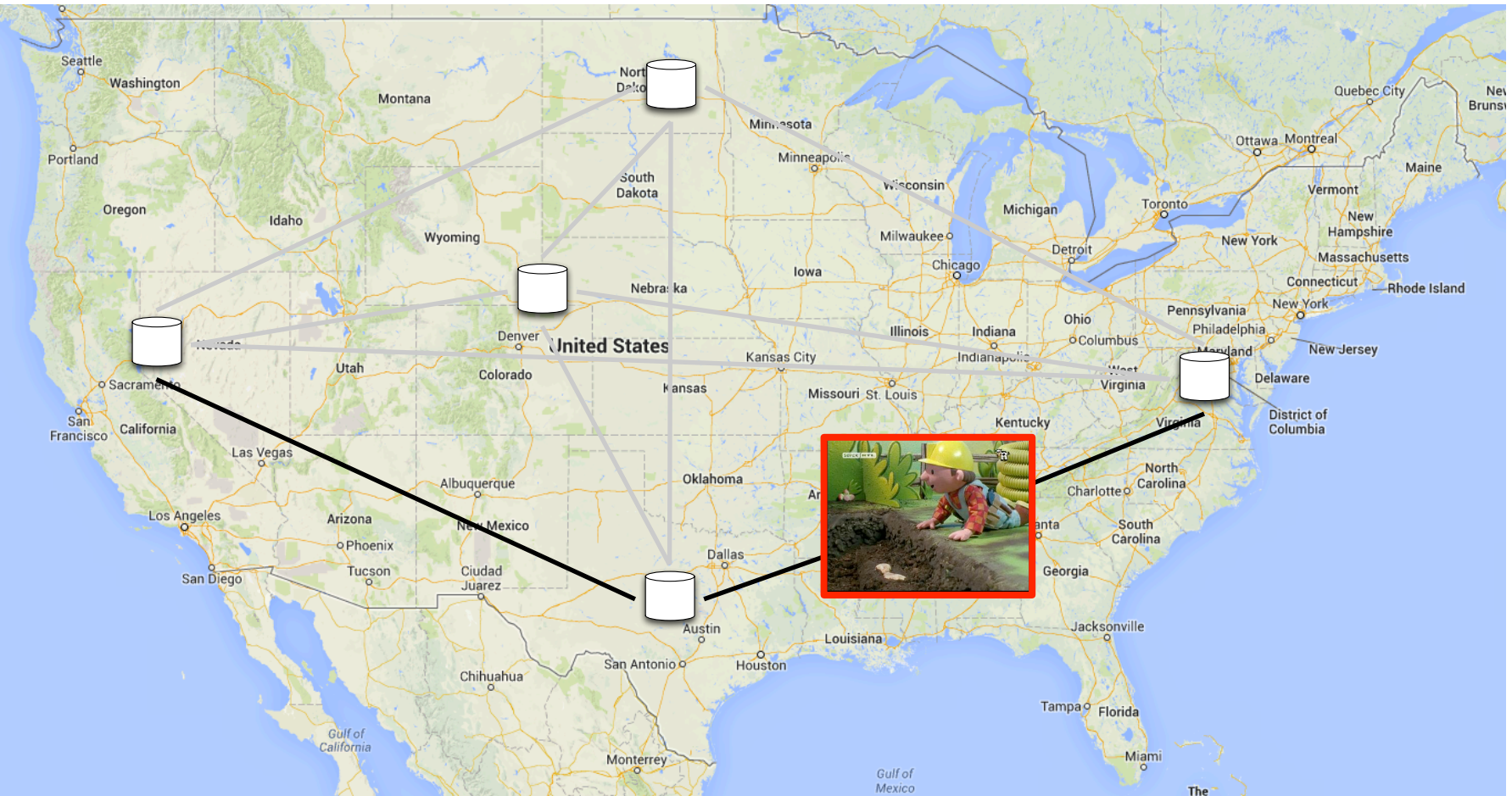


# Dropped Message

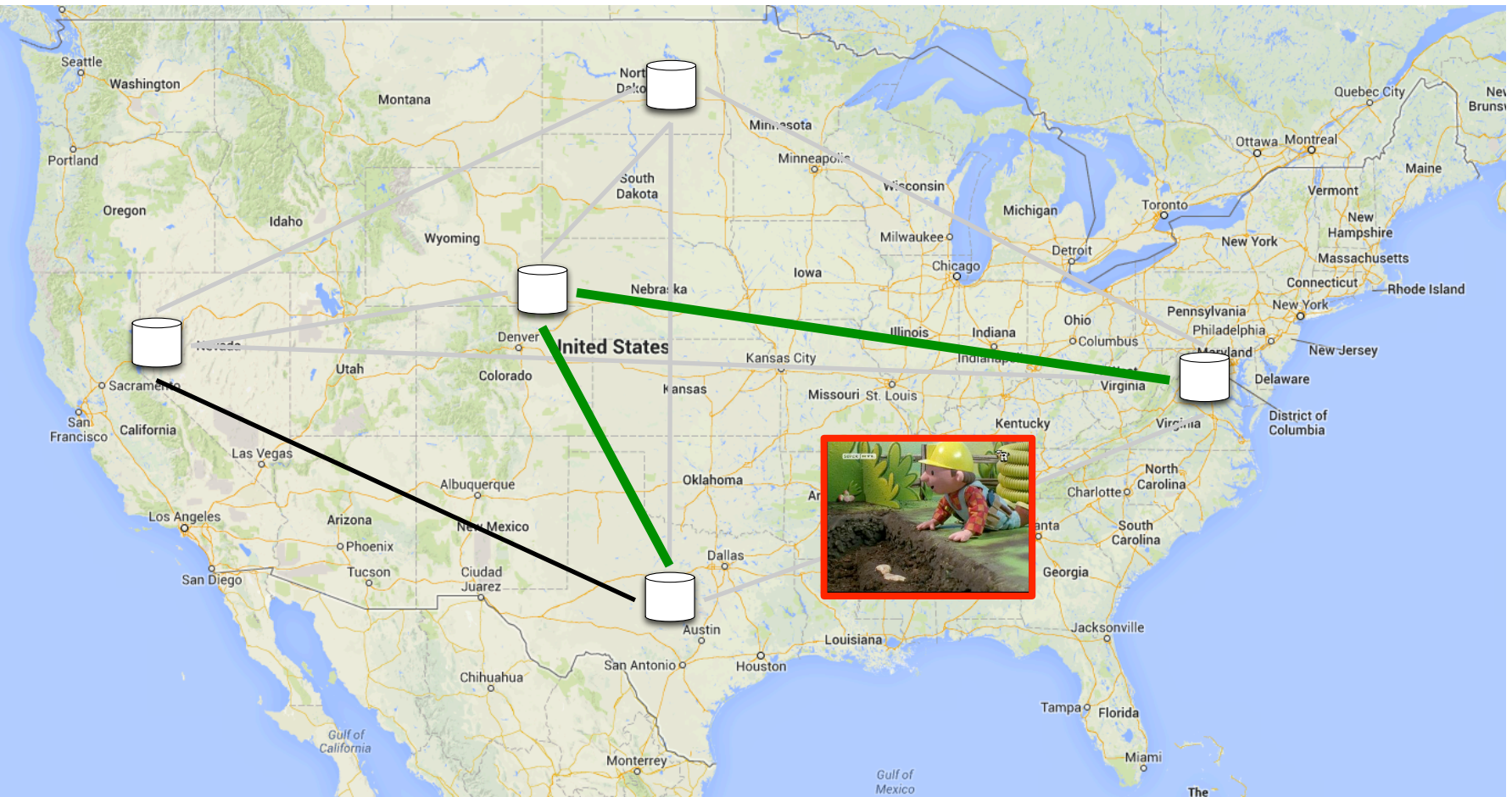




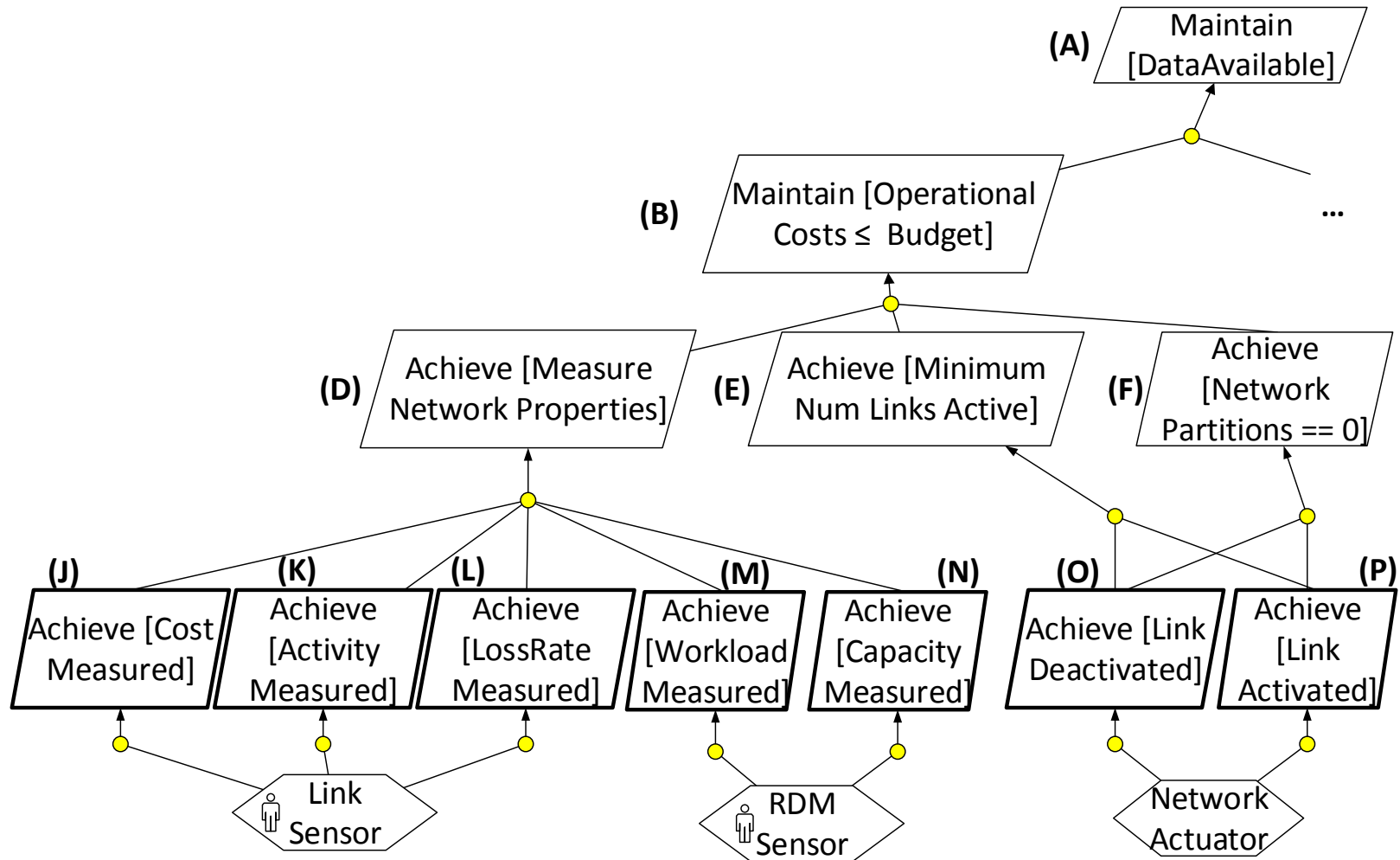
# Disrupted Connection



# Reconfiguration

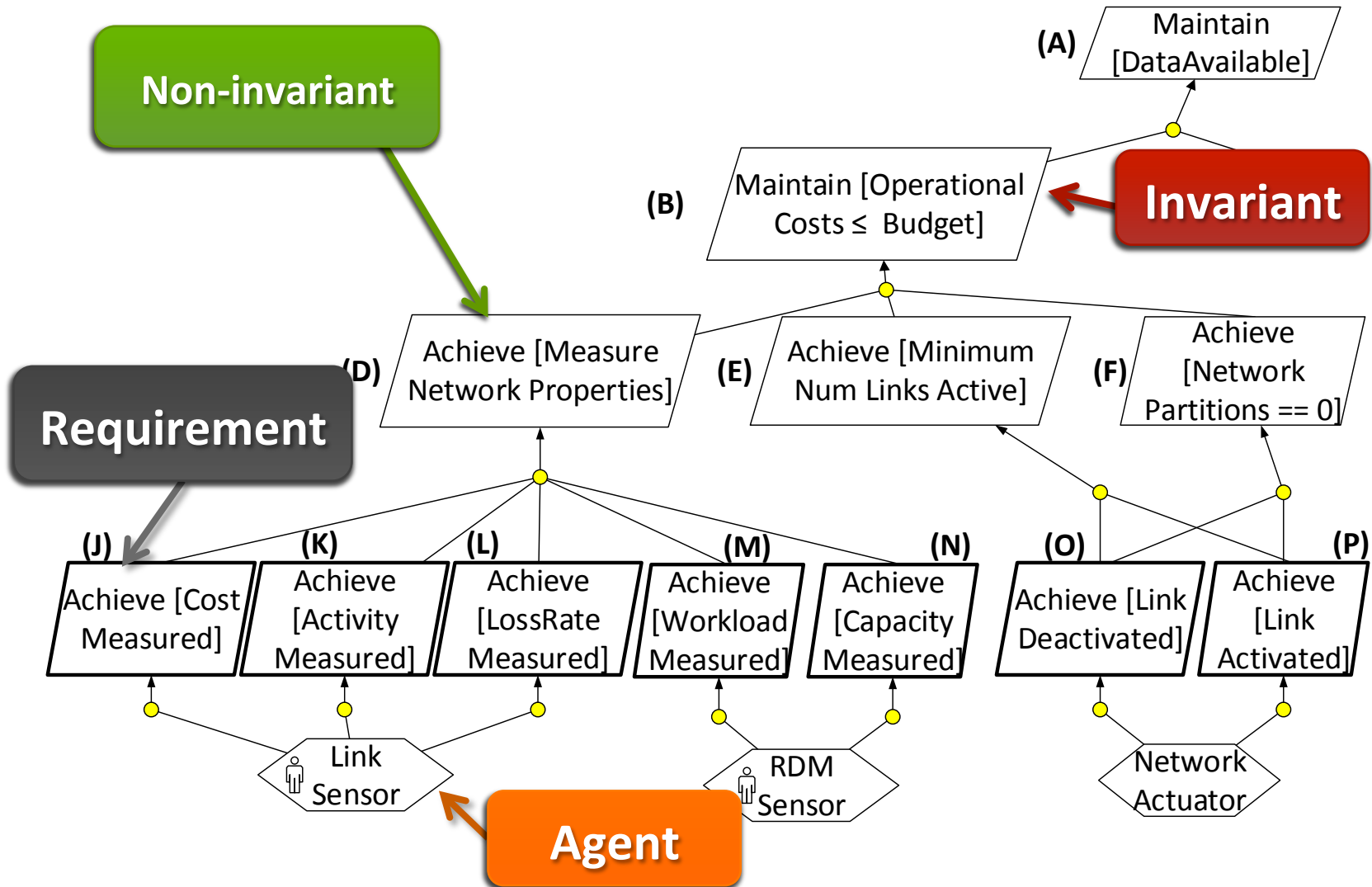


# Goal-Oriented Requirements Engineering



Partial KAOS [Dardenne1993,vanLamsweerde2009] goal model of RDM

# Goal-Oriented Requirements Engineering

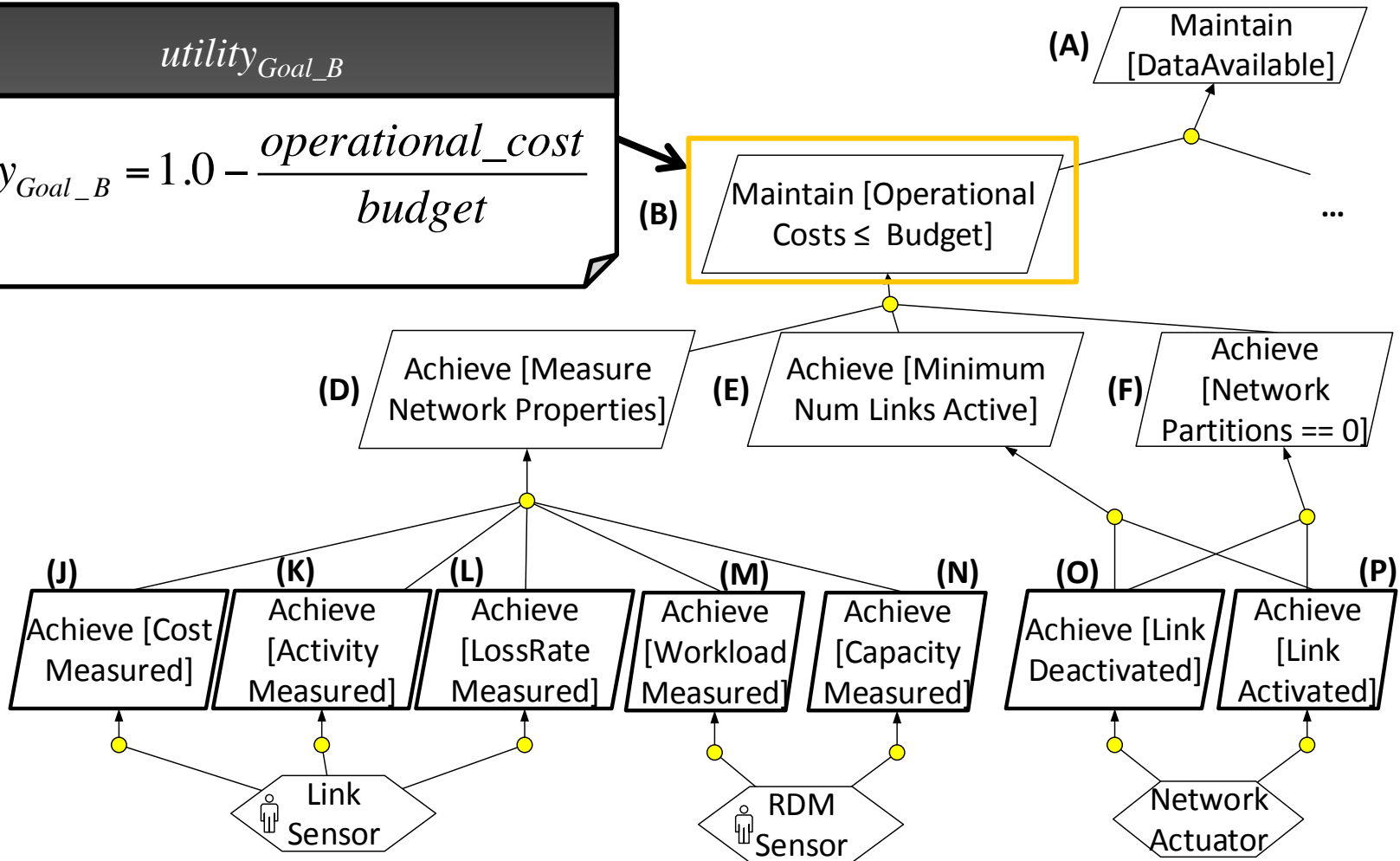




# Utility Functions

$utility_{Goal\_B}$

$$utility_{Goal\_B} = 1.0 - \frac{operational\_cost}{budget}$$





# Software Testing

- Requirements-based testing
  - Validate that system under test is satisfying requirements  
[Myers2011,IEEE2010]
- Regression testing
  - Re-validate system following major change to software  
[Myers2011,IEEE2010]

# Software Testing

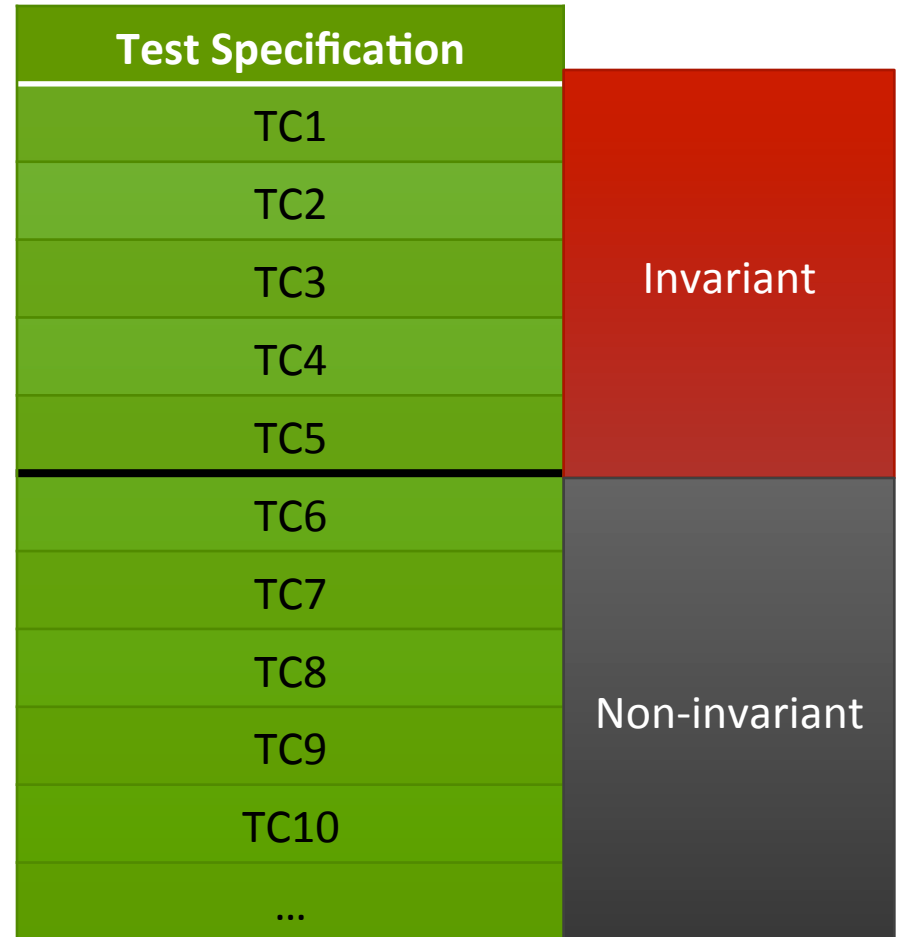
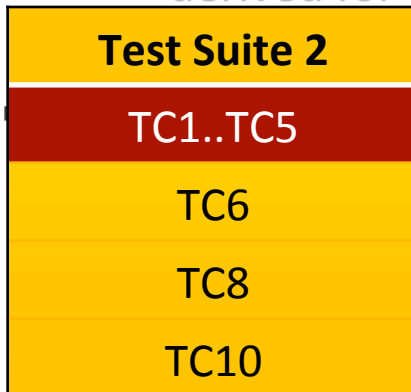
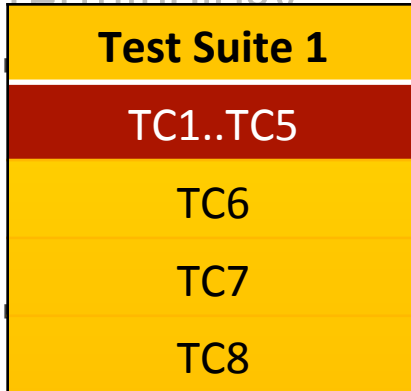
## Terminology

- Test case
  - Single test to assess all or a portion of a requirement
- Test specification
  - Set of all possible test cases derived for a software system
- Test suite
  - Subset of test cases from the test specification
  - Typically derived to be executed under a particular operating context

Test Specification	Test Suite 1
TC1	TC1..TC5
TC2	TC6
TC3	TC7
TC4	TC8
TC5	
TC6	<b>Test Suite 2</b>
TC7	TC1..TC5
TC8	TC6
TC9	TC8
TC10	TC10
...	

# Software Testing

## Terminology

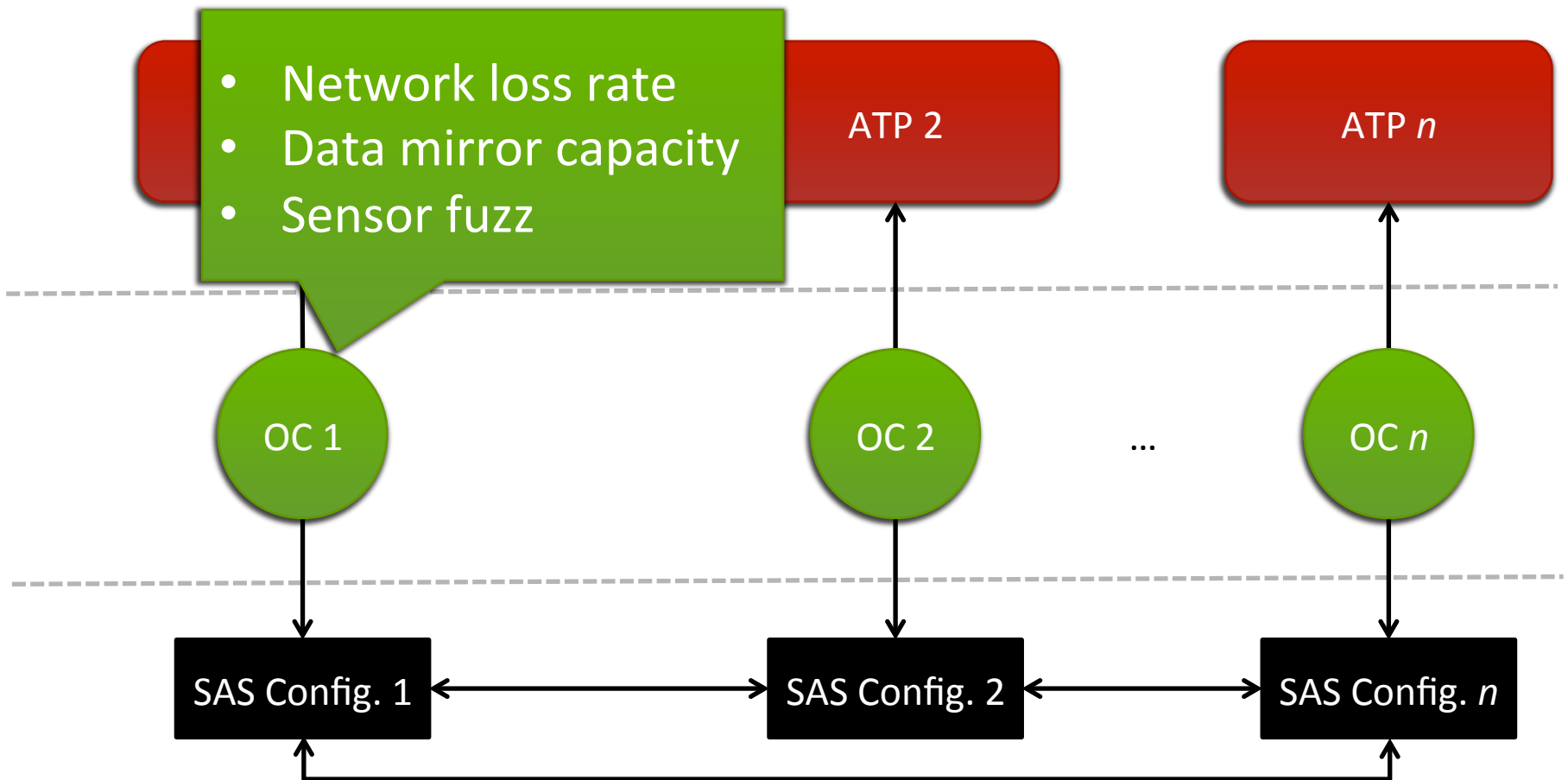


# Proteus Approach

- Requirements-driven approach for managing run-time testing
- Defines an **adaptive test plan** for each SAS configuration
  - Each configuration corresponds to a particular set of environmental conditions, or *operating context*
- Performs a testing cycle during each timestep of SAS execution



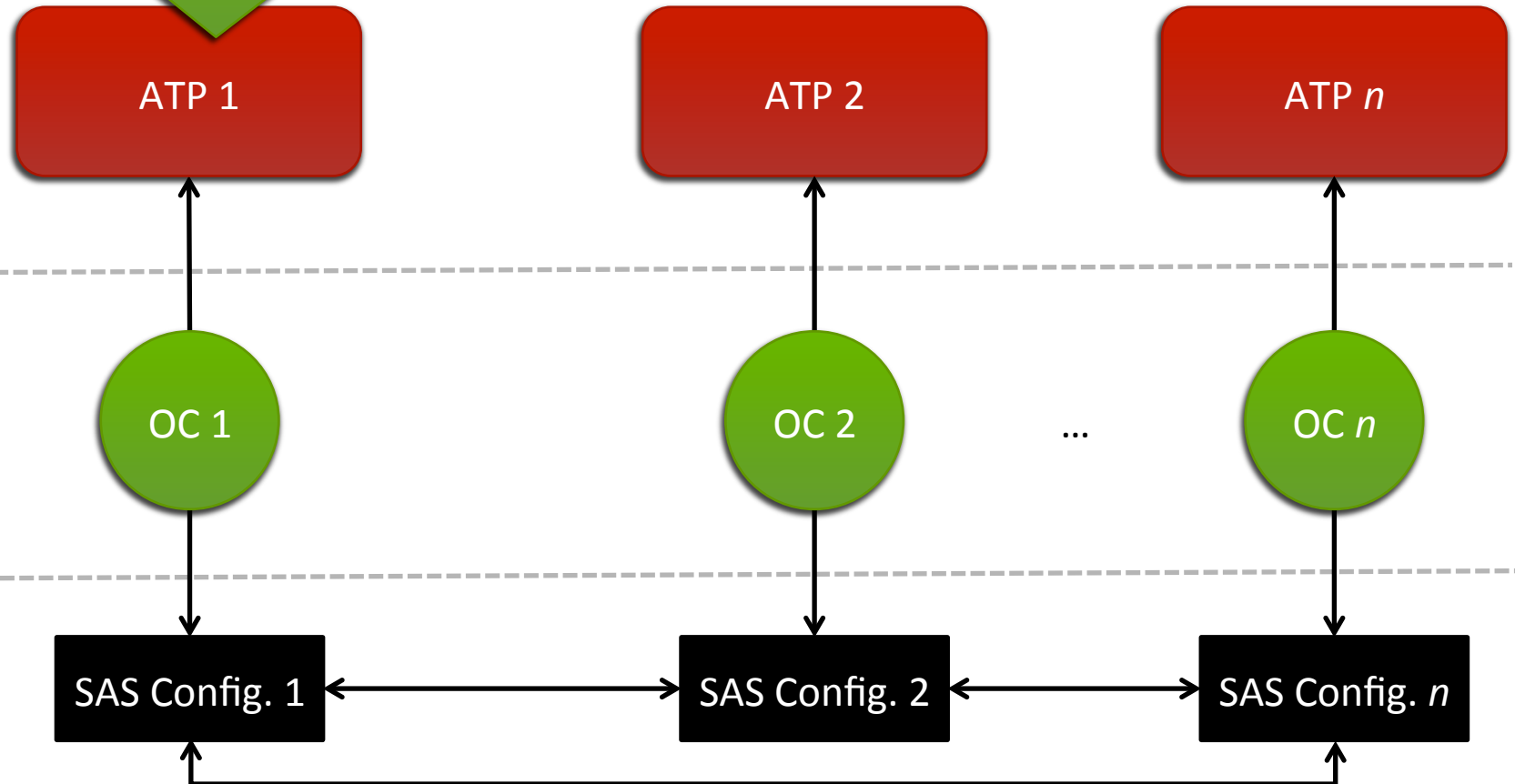
# Adaptive Test Plans





# Adaptive Test Plans

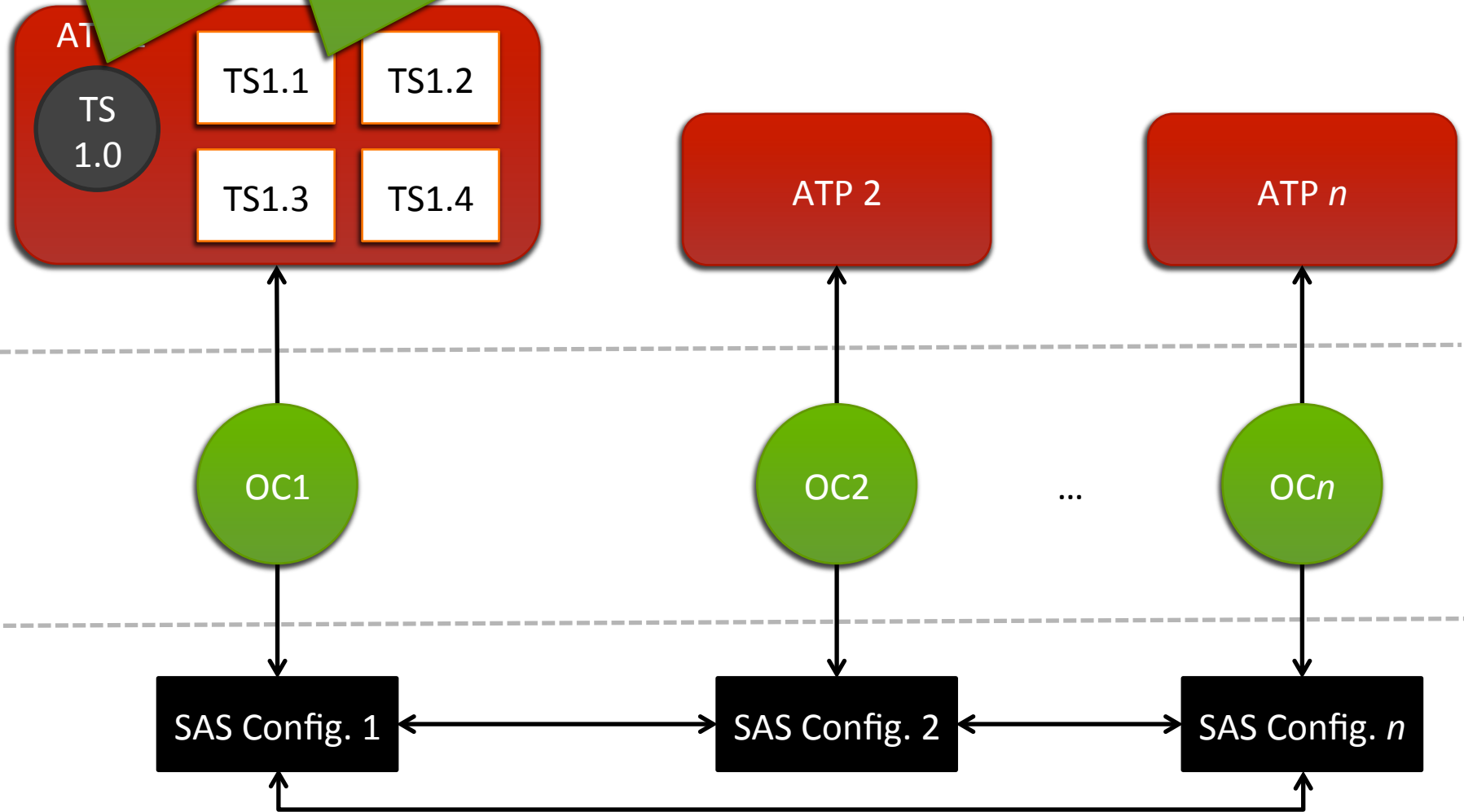
Comprises all test suites for given operating context



# Adaptive Test Plans

Defined

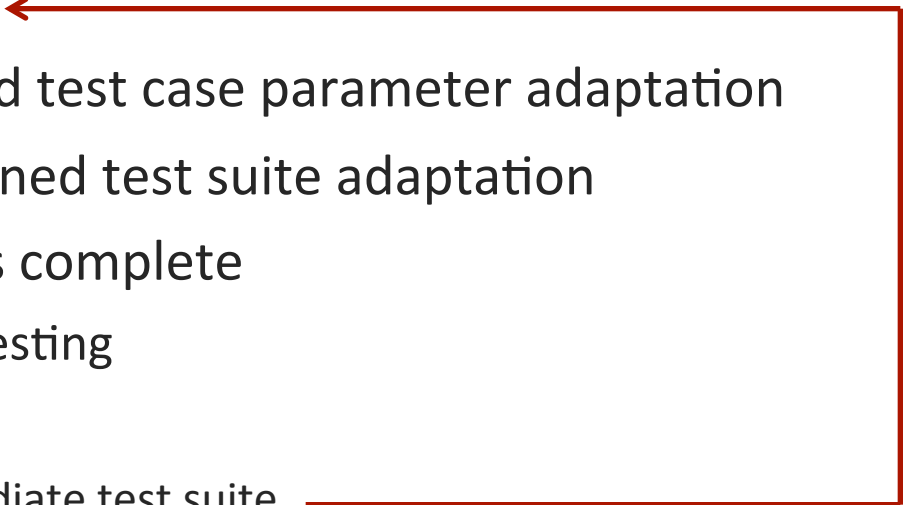
Automatically derived by  
Proteus



# Test Case Activation State

- Test cases within test suite have an activation state:
  - **ACTIVE:** Executed when current test suite is performed
  - **INACTIVE:** Not executed when current test suite is performed
  - **N/A:** Not executed, as it is not relevant to current operating context
  
- Default test suite ( $TS_{k,0}$ ):
  - Relevant to operating context: **ACTIVE**
  - Irrelevant test cases labeled: **N/A**

# Testing Cycle

- Testing cycle at each step of SAS execution
    1. Execute default test suite
    2. Analyze test results
    3. Perform fine-grained test case parameter adaptation
    4. Perform coarse-grained test suite adaptation
    5. Determine if cycle is complete
      1. If complete: halt testing
      2. If not complete:
        1. Execute intermediate test suite
- 

# Test Case Fitness

Test case fitness (**relevance**) is defined as:

$$relevance_{TC} = 1.0 - \frac{|value_{measured} - value_{expected}|}{value_{expected}}$$

For example:

## High relevance to environment

- Test case expected value = **0.50**
- Test case measured value = **0.45**
- Fitness = 0.90**

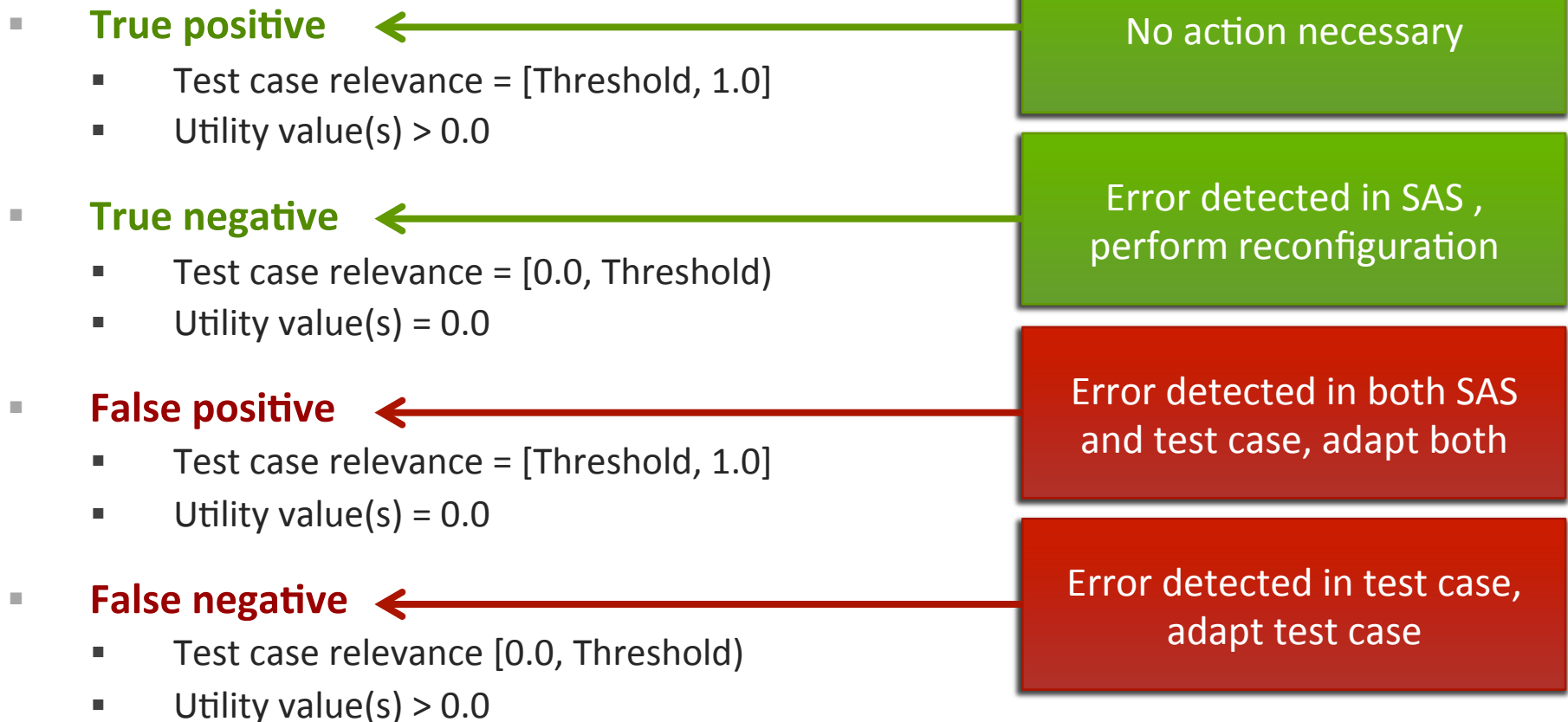
## Low relevance to environment

- Test case expected value = **0.50**
- Test case measured value = **0.01**
- Fitness = 0.02**



# Results Analysis

- Each test case is correlated to at least one goal for validation
  - Test result validated against utility value



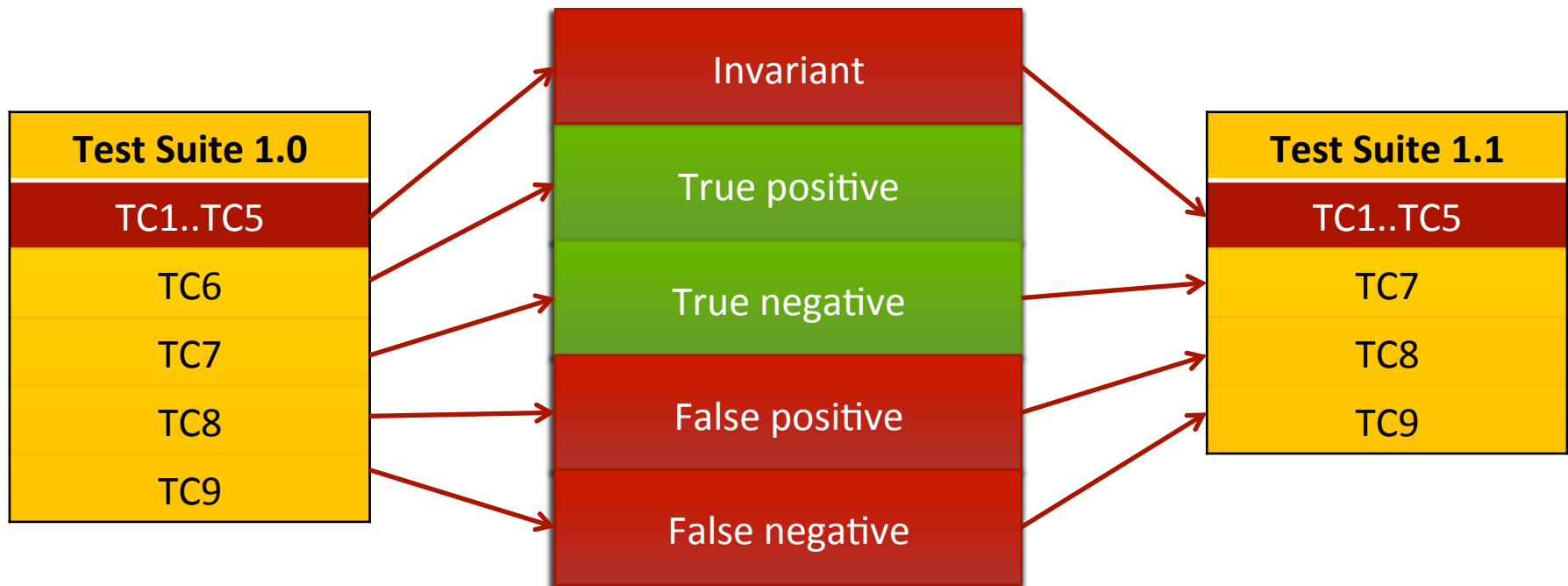
# Fine-grained Adaptation

- **Veritas** [Fredericks2014.SEAMS]
  - Adapts non-invariant false positive and false negative test cases
  - Online evolutionary algorithm
  - Searches for a better test case *expected value*
    - Addresses system or environmental uncertainty for each operating context



# Coarse-grained Adaptation

- Dynamically generate test suites based on test results



# End of Testing Cycle

- Testing cycle terminates when:
  - New SAS configuration is invoked
    - New testing cycle initiated
  - All test cases result in true positives
- If the cycle continues, then the dynamically-generated test suite is executed instead of the default test suite

# Case Study

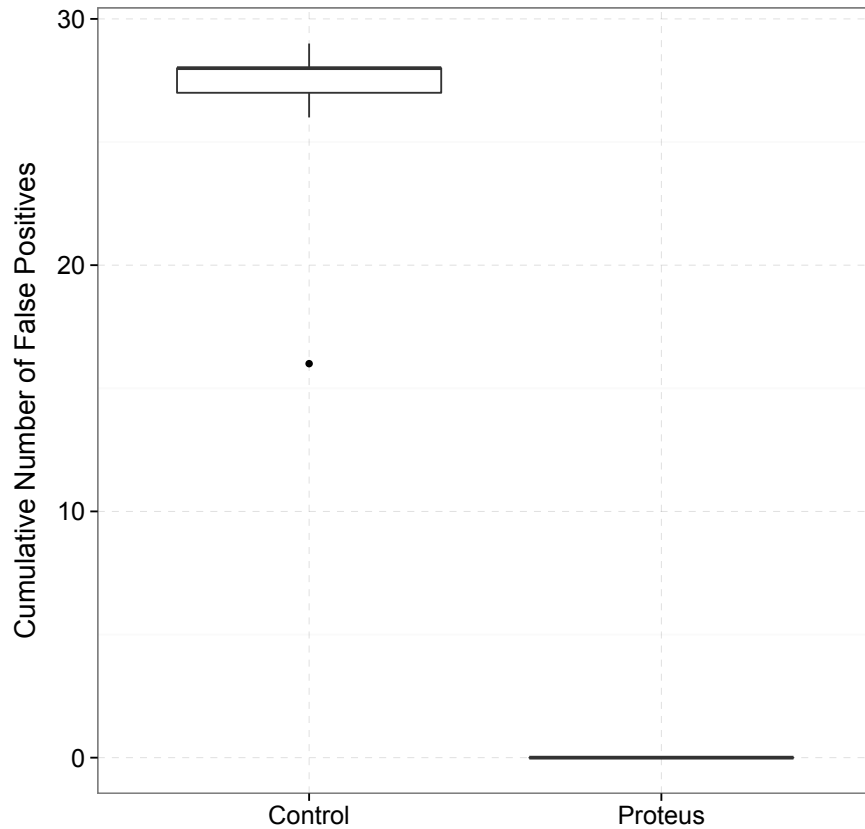
- Simulated RDM network
  - **[15, 30]** data mirrors
  - **[100, 200]** data messages
  - **300** timesteps
  
- Uncertainty at each timestep:
  - Unpredictable network link failures
  - Randomly dropped or delayed messages
  - Noise applied to data mirror sensors / network links

# Case Study

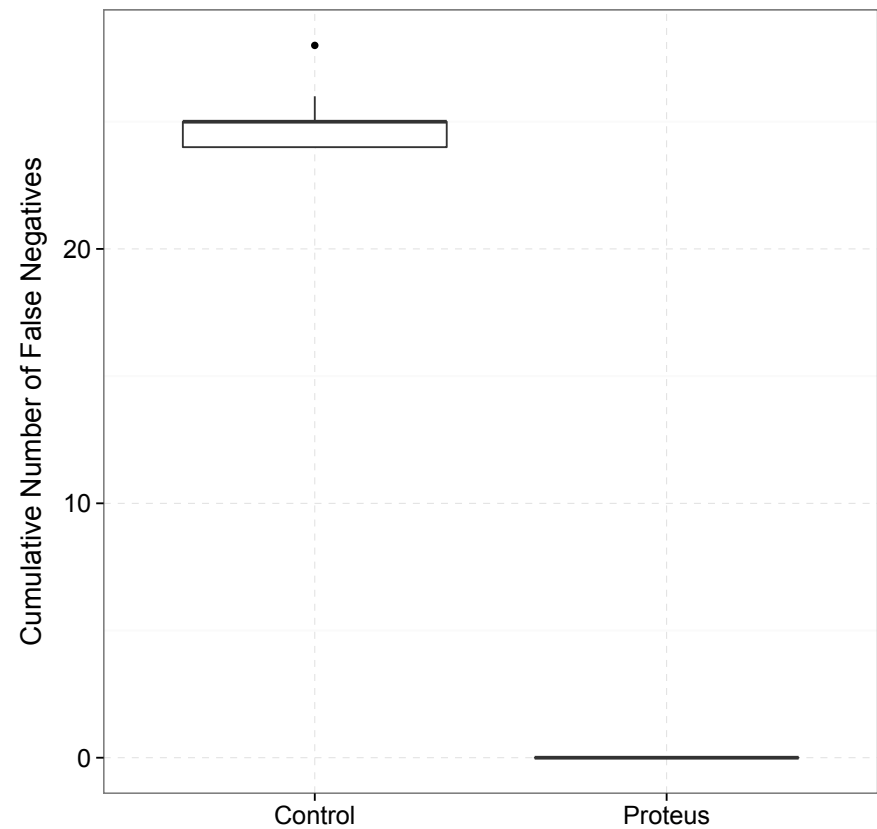
- Test specification:
  - 36 test cases
    - 7 invariant [precluded from adaptation]
    - 29 non-invariant [can be adapted]
- Compared Proteus adaptive test plans to a manually-derived Control test plan
  - Control test suite:
    - All test cases from test specification relevant to each operating context

# Proteus and Veritas

Executed false positive test cases, i.e.,  
**test case relevance = [Threshold, 1.0],**  
**utility value = 0.0**



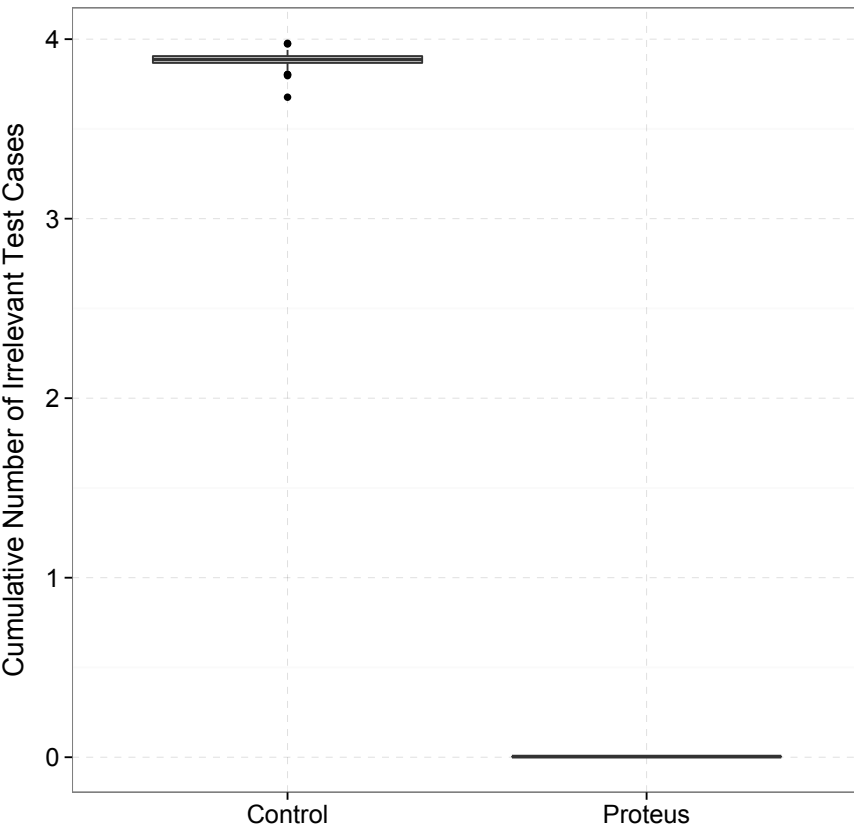
Executed false negative test cases, i.e.,  
**test case relevance = [0.0, Threshold),**  
**utility value > 0.0**



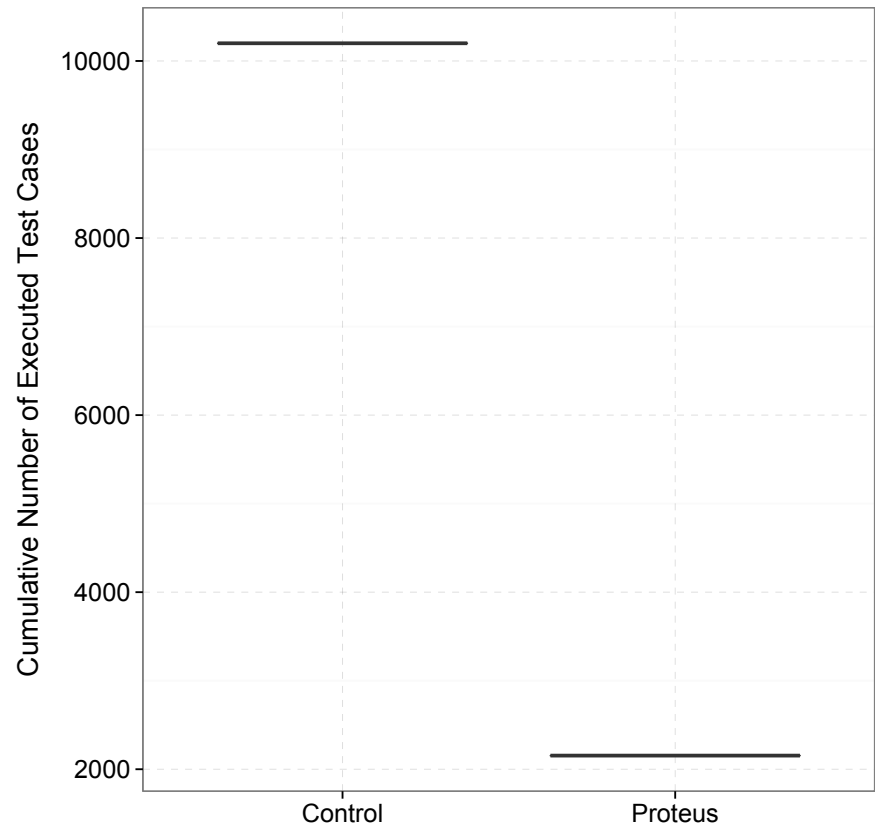


# Experimental Results

Executed irrelevant test cases, i.e.,  
**test case relevance = 0.0**



Total number of executed test cases



# Case Study Discussion

- Adaptive testing provided by Proteus framework supported by Veritas
- Test suites and test cases remain relevant to changing environmental conditions
- Reduces number of irrelevant test cases executed at run time

# Impact of Run-Time Testing

- Analyzed impact of our framework:
  - Execution time
  - Memory overhead
  - Requirements satisficement
  - Number of reconfigurations

- Tested **three** states:
  - (S1): All run-time testing activities enabled
    - Proteus+Veritas enabled
  - (S2): All run-time testing activities disabled
    - Proteus+Veritas disabled
  - (S3): Run-time testing framework removed
    - Proteus+Veritas code / data structures removed from implementation

- Execution time
  - Measured total execution time of RDM simulation

	(S1)	(S2)	(S3)
Average execution time (seconds)	23.030	13.901	13.785

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  - Measured total execution time of RDM simulation

	(S1)	(S2)	(S3)
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Significant ( $p < 0.05$ )

- Execution time
  - Measured total execution time of RDM simulation

	(S1)	(S2)	(S3)
Average execution time (seconds)	23.030	13.901	13.785

- Memory footprint
  - Measured maximum memory usage of RDM simulation

	(S1)	(S2)	(S3)
Average memory usage (megabytes)	65.234	65.332	65.020



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**Not significant ( $p > 0.05$ )**

- Requirements satisficement
  - Calculated average utility value over simulation

	(S1)	(S2)	(S3)
Average utility value	0.7717	0.7656	0.7656

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- Requirements satisfaction
  - Calculated average utility value over simulation

	(S1)	(S2)	(S3)
Average utility value	0.7717	0.7656	0.7656

- Number of reconfigurations
  - Averaged number of triggered RDM reconfigurations

	(S1)	(S2)	(S3)
Average number of reconfigurations	23.00	17.28	19.16

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	(S1)	(S2)	(S3)
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	(S1)	(S2)	(S3)
Average number of reconfigurations	23.00	17.28	19.16

**Not significant ( $p > 0.05$ )**

# Discussion of Testing Impact

- Run-time, adaptive testing only **significantly** impacts RDM in terms of execution time
  - Exploring parallelization strategies to reduce time impact
- While not significant, a clear difference in mean utility values exist in requirements satisficement
  - Timing of measurement causes sampling times to be slightly different

- **Search-based software testing**
  - Techniques such as evolutionary computation, hill climbing, simulated annealing used for different testing approaches in model testing [Harman2009], regression testing [Harman2012], and structural testing [McMinn2011]
  - EvoSuite [Fraser2011] and Nighthawk [Andrews2011] are evolutionary frameworks for generating test suites and instantiating unit tests
  - Veritas uses a run-time evolutionary algorithm, whereas the other techniques focus on design time search
- **Run-time testing**
  - Implemented using reinforcement learning [Veanes2006], recording & replaying [Tsai1990], and Markov modeling [Filiari2011] approaches
  - Veritas combines evolutionary search for test parameters with utility-based validation
  - Proteus maintains relevance of test suites as conditions change



# Related Work

- **Test suite generation**
  - Requirements specification used to generate formal grammars [Bauer1979]
    - Proteus generates new test suites based upon a pre-defined default test suite and executes based on monitored conditions
  - Artificial intelligence used to automatically generate test plans for graphical user interfaces [Memon2001]
    - Proteus analyzes monitoring information to select appropriate test suite
- **Test case selection and prioritization**
  - Select a representative set of test cases and prioritize their execution [Harman2009]
    - Proteus selects and executes tests at run time
  - Tropos [Nguyen2008] uses agent-based randomized testing to validate multi-agent systems
    - Proteus generates test suites targeted towards specific DAS operating contexts

# Acknowledgements

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- Ford Motor Company
- General Motors



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